

- Observations/Assumptions.
 - a) All DSW meetings offer WebEx &/or conference options to participate in meetings.
 - b) Meeting announcements provided at least one month in advance, when possible.
 - c) Meeting information/data, provided 2 weeks prior to meeting, when possible, but not less than 1 week in advance.
 - d) DSW provide revised POC list for each functional area yearly, or if POCs change.
 - e) All POCs, reports, information/data, meeting announcements, notes & decisions posted to DSW website for easy access.
 - f) Nothing in agreement shall limit U.S. or Federally Recognized Indian Tribes & Tribal Contractors, who are signatories to JPPA, from conducting government-to-government consultations and communications at any time.

- Name of Agreement: Customer group currently using JPPA, “*Joint Planning Program Agreement*” to avoid confusion with original 1995 agreement.

- Voting. If a project impacts Customers’ rates, Customers should vote on it. Customers should vote on all capitalized/amortized projects, construction or RRADs, *without* identifying a dollar value.
 - Customers vote to approve entire Ten Year Plan
 - Customers vote to approve Customer Prepayments used for the TYP
 - If Customer-funded project, Customers should vote on leases that impact rates
 - All PDP/INT Customers who sign a new agreement shall vote on TYP
 - One vote per Customer, regardless of size unless agreed to by Customers
 - Customers or written authorized representative shall vote on issues/projects either in person or by phone. Look at need for proxies.

- Functional Participation. Customers want additional participation in following areas. (Most of which, if not all, DSW has already agreed to the additional participation.)
 - a) Transmission Planning.
 - Review and discuss DSW transmission project alternatives & may provide other alternatives to consider. Provide comments on projects prior to forwarding projects to TYP group for inclusion to the TYP
 - Transmission Planning provide Customers with Annual Study Report information and include in discussions
 - Two additional optional meetings, offered by DSW and requested by Customers, to discuss projects, impacts to the transmission systems, etc.

 - b) Construction (TYP).
 - Customers participate in AoA discussions, alternatives and decisions
 - Customers participate in CPC-T and CPC-F, if they choose to
 - Customers participate in design discussions, when appropriate
 - DSW quarterly TYP meetings to reduce number of meetings, travel, cost, etc. Retain thorough discussions appropriate, with 4 timely and detailed Customer meetings per year prior to finalizing the TYP
 - *Does TYP provided to customers include PD and NPD/O&M related costs? If not, how should it be reported?*

- TYP shall be voted on prior to forwarding to OCFO for budget inclusion.
- c) Power Marketing.
 - Rates. Two rate meetings, as requested, added to current formal rate process to discuss how TYP & O&M may impact future rates. Discuss future rate impact up to 3 years for Customer planning purposes.
 - *What If Rate Scenarios*. Rates shall work with Customers on potential rate changes by including/excluding certain TYP projects.
 - TIP or Trust Projects. Customer involvement when TIP or Trust Projects could impact the rate or available funding.
- d) Administration.
 - O&M Reporting. Quarterly O&M reports compare O&M estimates vs O&M actuals, with option to discuss greater of under/over-execution of >15% or \$250k.
 - FTEs. Annual FTE report reflecting FTEs & contractors of WAPA HQ & regional functional areas. 3 prior year estimates/execution, current year estimate/execution & 3 years of estimates.
 - Procurement. New JPA agreement should reflect when it is appropriate to use Federal or Customer projects & acquisitions.
- e) Budgets.
 - Meetings.
 - DSW Budget meet with Customers to discuss DSW budget needs prior to OCFO, which includes preliminary rate analysis.
 - DSW Budget provide Customers with draft TYP & O&M budget information before submission to OCFO.
 - Continue current meetings to discuss estimates vs actuals and large variances. Discuss % of variances by budget activity.
 - Reports.
 - Timely reports available on DSW website, (quarterly budget vs execution, shifting funds between functional areas or programs, use of prepayment funds and appropriations, FTEs estimates/usage, etc).
- f) Customer Coordination.
 - Agreement reflects “Parties” or “Party” within the agreement. Anticipate Customers to determine how they will coordinate & participate with DSW; documented in separate Customer-only agreement. (Perhaps separate Customers’ committee to be formed)
- g) WAPA HQ.
 - WAPA HQ Administrator’s budget guidance provided to Customers before initiating process.
 - WAPA HQ & DSW conduct meeting to discuss unobligated balances for WAPA, each regions & types of funding.
 - WAPA HQ continue to meet with DSW & other Customers to discuss:
 - Potential cost shifts prior to decision or implementation (IT, HR, etc.)
 - Anticipated FTE needs for WAPA HQ and all of WAPA for future
 - TYP and O&M projections prior to deciding final HQ funding plans.