

Joint Planning Agreement – Discussion Topics

1. General Principles
  - a. Collaboration/Partnership between DSW and customers
  - b. Open and Transparent – maximize information sharing among all parties
  - c. Flexibility – JPA must be flexible to easily accommodate changes in DSW/customer needs; e.g., no fixed reporting templates or a prohibitive amendment process
  - d. Eligibility
  - e. Authorized Representatives – to help DSW and customers identify the appropriate contact
  - f. Termination Provisions by all parties
  
2. Customer Meeting Regiment
  - a. Host, notice of date and location, agenda
  - b. Encourage two-way dialog
  - c. Comment and response periods
  - d. General timelines; e.g., twice a year
  - e. Organizational Structure
  - f. WebEx and conference options
  - g. [DSWContracts@wapa.gov](mailto:DSWContracts@wapa.gov) for questions
  
3. Budget Formulation and Execution
  - a. Recognition that budget formulation is a multi-step process with deadlines outside of DSW control
  - b. Memorialize or create process to determine DSW/customers preference for unobligated balances and/or cash releases to Treasury
  - c. Customers have an opportunity to review and discuss O&M costs at work plan meetings
  - d. WAPA will consider customer feedback on O&M costs and make final decision to ensure compliance, safety, and reliability needs are met
  - e. Include language from Prepayment MOU, Section 5.5, that states DSW will find other sources to fund projects should the use of prepayments not be approved, which provides flexibility for DSW to ensure compliance, safety, and reliability needs are met
  - f. Two work plan meetings per year
    - a. Oct – Dec: Prior year execution and upcoming budget year guidance
    - b. Mar – Apr: Current year revalidated budget, out-years work plan, and unobligated balances

4. Construction/TYP

- a. Formal customer meetings
  - a. March: Active Construction Projects
  - b. June: Draft 10-Year Plan
  - c. September: Formal 10-Year Plan
  - d. December: PCN Vote
- b. Out-of-Cycle Vote on Seed Funding
- c. Action Items – How to communicate and document with customers
- d. Memorialize Customer feedback – External website
- e. Report frequency on Active Projects
- f. Mirroring CREDA – One person or empowered committee; e.g., E&OC

5. Prepayment Funding

- a. Incorporate most elements of existing Prepayment MOU and terminate
- b. Eligibility – open to PDP and Intertie customers and any other customer who holds a transmission service agreement (possibly different from overall JPA eligibility)
- c. Multi-year structure rather than a single year
- d. Partial funding/Seed Funding – to better develop project scope/cost
- e. Mid-cycle projects – minimize these but have the flexibility to take advantage of opportunities
- f. Appropriations – more clarity on use of appropriations vs prepayments; e.g. if appropriations become available after the prepayment cycle how should they be used
- g. Define and outline ability to use prepayments for “seed-funding” to begin Project designs to get to a 50-80% design package/potentially start environmental work if expected to be a major cost component.
- h. Memorialize the impact to the TYP if a project is not approved for funding
- i. Emergency exceptions
- j. Reliability/Compliance exceptions

6. Rates

- a. When possible, formulated using information provided through JPA process with exception of programmatic changes that occur mid-cycle
- b. Cannot conflict with other ratemaking or repayment requirements; e.g. 10 CFR 903, DOE RA 6120.2

7. Transmission Planning

- a. Cannot conflict with WAPA’s OATT (or applicable OATT)
- b. Cannot conflict with confidentiality requirements in other processes/agreements

8. Metering

- a. General Power Contract Provisions (GPCP) ([www.wapa.gov](http://www.wapa.gov))
- b. Meter Policy ([www.wapa.gov](http://www.wapa.gov))
- c. Testing for metering and substation